

Disclosure of Order Execution and Routing Practices (SEC Rule 606)

Zions Direct exclusively routes all orders pertaining to Rule 606 reportable securities to Interactive Brokers, LLC (“IBKR”) for order routing. IBKR may not be the ultimate execution venue for such orders, and these orders may be further routed to execution venues. Zions Direct does not receive any remuneration for orders routed to IBKR, including any execution venue fees or rebates that may be paid by an executing venue. However, IBKR may receive remuneration from executing venues through maker-taker pricing or other applicable execution venue fees or rebates.

Zions Direct does not select the execution venues to which IBKR routes Rule 606 reportable securities for execution. As a result, IBKR is better positioned to prepare a quarterly report that reflects any execution venue specific maker-taker arrangements or other execution venue fees or rebates.

Please click the link below to view the most recent quarterly report for IBKR.

<https://www.interactivebrokers.com/en/general/about/brokerDealerReports.php>